

Fiscal Year 2006 Performance Budget

**U.S. Department of Education
February 2005**

Goal 1: Create a Culture of Achievement

Objective 1.1: Link federal education funding to accountability for results

Strategies

- A. State NCLB* accountability systems
- B. Federal accountability
- C. Performance-based grants
- D. Outcomes-based performance management
- E. Targeted support and outreach
- F. Evaluations informing legislation

Performance Measure

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
State Accountability Systems	State Assessments. The number of states that have reading/language arts assessments in grades 3 through 8 and high school. ¹	NA	NA	NA	0	18	52
	State Assessments. The number of states that have mathematics assessments in grades 3 through 8 and high school. ¹	NA	NA	NA	0	18	52
	State Assessments. The number of States that completed field testing of the required assessments in reading/language arts. ²	NA	NA	16	19	30	52
	State Assessments. The number of States that completed field-testing of the required assessments in mathematics. ²	NA	NA	16	19	30	52

State(s) = States and jurisdictions that are required under NCLB to implement assessment systems; this includes the 50 states, the District of Columbia, and Puerto Rico.

NA = Not available

¹Sources. Department of Education, Standards and Assessment External Peer Review Process, Title I review processes, staff recommendations, and decisions by the Secretary. This measure includes only assessments that have full Department approval as meeting the requirements of No Child Left Behind.

²Sources. Department of Education, Consolidated State Performance Reports; state Web sites.

* No Child Left Behind Act of 2001

Objective 1.2: Increase flexibility and local control

Strategy

A. Flexibility provisions for state and local educational agencies

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Local Flexibility	Rural Education Program. The percentage of eligible school districts utilizing the Rural Education Achievement Program flexibility authority. ¹	NA	NA	61	P	65	65
Customer Satisfaction	The overall American Customer Satisfaction Index (ACSI) as scored by Department grantees. ²	NA	NA	NA	P*	BL + 1	BL + 2

*This score will serve as the baseline.

NA = Not available

P = Pending

BL = Baseline

¹Source. Department of Education, Consolidated State Performance Reports.

²Source. Department of Education, Grantee Satisfaction Survey, 2004, 2005, and 2006.

Objective 1.3: Increase information and options for parents

Strategies

- A. Public school parental choice and supplemental services
- B. Charter and magnet school options
- C. Parental information and involvement
- D. Development and improvement of report cards
- E. Expansion of choice options

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Expansion of choice options	Charter Schools Grants. The number of charter schools in operation. ¹	2,110	2,431	2,700	2,996	3,300	3,600
	Credit Enhancement for Charter School Facilities. The amount of funding grantees leverage for the acquisition, construction or renovation of charter school facilities. ²	NA	NA	105 M	70 M	100 M	100 M

M = million

NA = Not available

¹Source. Center for Education Reform, Annual Survey: State Education Agencies.

²Source. Department of Education, Credit Enhancement for Charter School Facilities Performance Reports.

Objective 1.4: Encourage the use of scientifically based methods within federal education programs

Strategies

- A. Scientifically based research
- B. Targeted support and outreach

Performance Measure

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Evidence-Based Approaches	The proportion of school-adopted approaches that have strong evidence of effectiveness compared to programs and interventions without such evidence. ¹	NA	NA	NA	NA	BL	BL + 5%

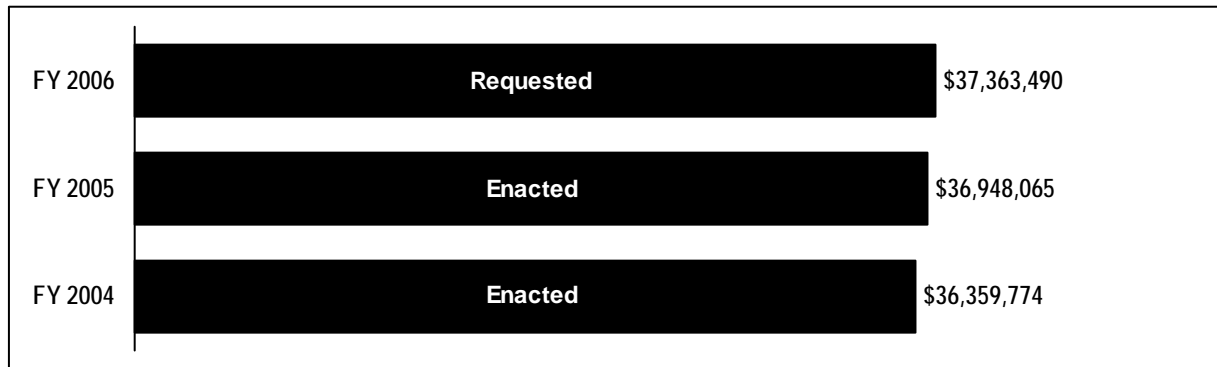
NA = Not available

BL = Target is to set a baseline

¹Source. Department of Education, Institute of Education Sciences, survey.

Goal 2: Improve Student Achievement

Resources for Goal 2 (in thousands of dollars)



Programs Supporting Key Goal 2 Measures

Account/Program	FY 2004 Budget Authority (\$ in 000s)	FY 2005 Budget Authority (\$ in 000s)	FY 2006 President's Request (\$ in 000s)
Education for the Disadvantaged			
ESEA: Title I Grants to Local Educational Agencies	\$ 12,342,309	\$ 12,739,571	\$ 13,342,309
Special Education (IDEA)			
IDEA: Special Education Grants to States	10,068,106	10,589,746	11,097,746
Innovation and Improvement			
ESEA: Advanced Placement	23,534	29,760	51,500
School Improvement Programs			
ESEA: Improving Teacher Quality State Grants	2,930,126	2,916,605	2,916,605
Other Goal 2 Programs¹ (See below.)	10,995,699	10,672,383	9,955,330
Total	\$ 36,359,774	\$ 36,948,065	\$ 37,363,490

¹ For FY 2006, "Other Goal 2 Programs" are listed below. For FY 2004 and FY 2005, the amount for "Other Goal 2 programs" includes some programs that are not proposed in the FY 2006 Performance Budget.

Other Goal 2 programs include the following:

APEB: American Printing House for the Blind
 CFPA: Supplemental Education Grants Program
 CRA: Training and Advisory Services
 EASA: Teacher Incentive Fund
 ESEA: State Scholars Capacity Building
 ESEA: Adjunct Teacher Corps
 ESEA: 21st Century Community Learning Centers
 ESEA: Advanced Credentialing
 ESEA: Alaska Native Education Equity
 ESEA: Charter Schools Grants
 ESEA: Choice Incentive Fund

ESEA: Credit Enhancement for Charter School Facilities
 ESEA: Early Childhood Educator Professional Development
 ESEA: Early Reading First
 ESEA: Education for Native Hawaiians
 ESEA: English Language Acquisition State Grants
 ESEA: Fund for the Improvement of Education Programs of National Significance
 ESEA: High School Assessments
 ESEA: High School Intervention
 ESEA: Impact Aid—Basic Support Payments
 ESEA: Impact Aid—Construction

* Complete FY 2006 Program Performance Plans for most programs are available at <http://www.ed.gov/about/report/annual/2006plan/index.html>.

ESEA: Impact Aid—Facilities Maintenance
 ESEA: Impact Aid—Payments for Children with Disabilities
 ESEA: Impact Aid—Payments for Federal Property
 ESEA: Indian Education—Grants to Local Educational Agencies
 ESEA: Literacy Through School Libraries
 ESEA: Magnet Schools Assistance
 ESEA: Mathematics and Science Partnerships
 ESEA: Migrant State Agency Program
 ESEA: Neglected and Delinquent State Agency Program
 ESEA: Reading First State Grants
 ESEA: Reading Is Fundamental/ Inexpensive Book Distribution (FIE)
 ESEA: Ready-to-Learn Television
 ESEA: Rural Education Program
 ESEA: Special Programs for Indian Children
 ESEA: State Assessments
 ESEA: State Grants for Innovative Programs
 ESEA: Striving Readers
 ESEA: Teaching American History
 ESEA: Transition to Teaching
 ESEA: Troops-to-Teachers
 ESEA: Voluntary Public School Choice
 ESRA: Comprehensive Centers
 ESRA: National Assessment

ESRA: National Assessment Governing Board
 ESRA: Statewide Data Systems
 HEA: High School Equivalency Program
 IDEA: Special Education Vocational Rehabilitation Transition Initiative
 IDEA: Special Education Grants for Infants and Families
 IDEA: Special Education Parent Information Centers
 IDEA: Special Education Personnel Preparation
 IDEA: Special Education Preschool Grants
 IDEA: Special Education Technical Assistance and Dissemination
 IDEA: Special Education Technology and Media Services
 MVHAA: Education for Homeless Children and Youths

APEB = Act to Promote the Education of the Blind
 CFAA = Compact of Free Association Act
 CRA = Civil Rights Act
 ESEA = Elementary and Secondary Education Act
 ESRA = Education Sciences Reform Act
 FIE = Fund for the Improvement of Education
 HEA = Higher Education Act
 IDEA = Individuals with Disabilities Education Act
 MVHAA = McKinney-Vento Homeless Assistance Act

Objective 2.1: Ensure that all students read on grade level by the third grade

Strategies

- A. Early cognitive development and intervention
- B. Application and awareness of scientifically based reading research
- C. Reading achievement for special populations
- D. High-quality teacher supply and support
- E. Data-based decision-making

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Reading Achievement	Title I Grants to Local Educational Agencies. The number of states reporting an increase in the percentage of fourth-grade low-income students meeting state performance standards by achieving proficiency or above in reading on state assessments. ¹	NA	NA	NA	P	25	25
	Special Education Grants to States. The number of states reporting an increase in the percentage of fourth-grade students with disabilities meeting state performance standards by achieving proficiency or above in reading on state assessments. ¹	NA	NA	NA	P	25	25

P = Pending

NA = Not available

¹Source. Department of Education, Consolidated State Performance Reports.

Objective 2.2: Improve mathematics and science achievement for all students

Strategies

- A. High-quality teacher supply and support
- B. Data-based decision-making
- C. Partnerships in mathematics and science
- D. Research-based mathematics and science instruction
- E. Mathematics and science awareness and technical assistance

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Mathematics Achievement	Title I Grants to Local Educational Agencies. The number of states reporting an increase in the percentage of eighth-grade low-income students meeting state performance standards by achieving proficiency or above in mathematics on state assessments. ¹	NA	NA	NA	P	25	25
	Special Education Grants to States. The number of states reporting an increase in the percentage of eighth-grade students with disabilities meeting state performance standards by achieving proficiency or above in mathematics on state assessments. ¹	NA	NA	NA	P	25	25

P = Pending

NA = Not available

¹ Source. Department of Education, Consolidated State Performance Reports.

Objective 2.3: Improve the performance of all high school students

Strategies

- A. High school accountability
- B. Strengthened high school curricula
- C. Rigorous research on high schools
- D. Alternative high school options
- E. High-quality teacher supply and support

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
High School Completion	Special Education Grants to States. The percentage of students with disabilities that graduate from high school with a regular high school diploma. ¹	48	51	52	P	54	55
	Special Education Grants to States. The percentage of students with disabilities that drop out of school. ¹	41	38	34	P	34	33
Advanced Placement Participation	Advanced Placement. The number of Advanced Placement tests taken by low-income students nationally. ²	112,891	140,572	166,649	190,350	183,314	220,000

P = Pending

¹ Source. State Reported Data.

² Source. Department of Education, Advanced Placement Performance Report.

Objective 2.4: Improve teacher and principal quality

Strategies

- A. Reduced barriers to becoming a teacher or a principal
- B. Rigorous teacher preparation
- C. Research-based professional development
- D. Principal quality
- E. Retention of high-quality teachers

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Teacher Quality	Improving Teacher Quality State Grants. The percentage of core academic classes in high-poverty schools taught by highly qualified teachers. ¹	NA	NA	75	P	90	95
	Improving Teacher Quality State Grants. The percentage of core academic classes in elementary schools taught by highly qualified teachers. ¹	NA	NA	80	P	90	95
	Improving Teacher Quality State Grants. The percentage of core academic classes in secondary schools taught by highly qualified teachers. ¹	NA	NA	75	P	85	92

P = Pending

NA = Not available

¹ Sources. Department of Education, Consolidated State Performance Reports.
Department of Education, Performance Based Data Management Initiative (PBDMI).

Objective 2.5: Improve U.S. students' knowledge of world languages, regions, and international issues and build international ties in the field of education

Strategies

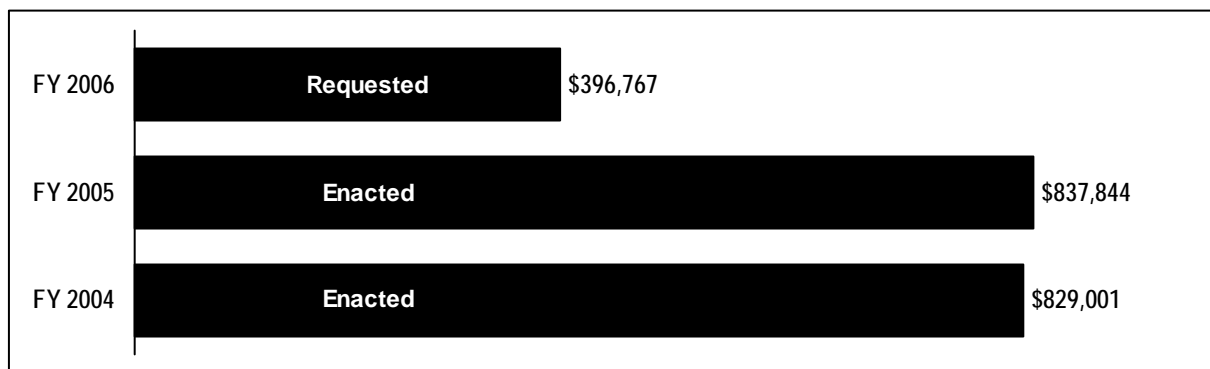
- A. International partnerships
- B. International education awareness
- C. Enhanced foreign language instruction

Performance Measures

The Department does not have key measures for any of the programs that support this objective.

Goal 3: Develop Safe Schools and Strong Character

Resources for Goal 3 (in thousands of dollars)



Program	FY 2004 Budget Authority (\$ in 000s)	FY 2005 Budget Authority (\$ in 000s)	FY 2006 President's Request (\$ in 000s)
Safe Schools and Citizenship Education			
Save and Drug-Free Schools and Communities Other National Programs	\$ 153,767	\$ 152,537	\$ 267,967
Other Goal 3 Programs¹ (See below.)	675,234	685,307	128,800
Total	\$ 829,001	\$ 837,844	\$ 396,767

¹ For FY 2006, "Other Goal 3 Programs" are listed below. For FY 2004 and FY 2005, the amount for "Goal 3 Programs" includes some programs that are not proposed in the FY 2006 Performance Budget.

Goal 3 programs include the following:^{*}

ESEA: Character Education
ESEA: Mentoring Program
ESEA: Physical Education Program

ESEA = Elementary and Secondary Education Act

Objective 3.1: Ensure that our nation's schools are safe and drug free and that students are free of alcohol, tobacco, and other drugs

Strategies

- A. Accountability for results
- B. Research-based strategies and effective practices
- C. Information dissemination and technical assistance

^{*} Complete FY 2006 Program Performance Plans for most programs are available at <http://www.ed.gov/about/report/annual/2006plan/index.html>.

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Safe Schools/ Healthy Students	Safe and Drug-Free Schools and Communities Other National Programs. The percentage of Safe Schools/Healthy Students grant sites that experience a decrease in the number of violent incidents at schools during the three-year grant period. ¹	NA	NA	NA	NA	Set baseline for 2004 cohort	Set baseline for 2005 cohort
	Safe and Drug-Free Schools and Communities Other National Programs. The percentage of Safe Schools/Healthy Students grant sites that experience a decrease in substance abuse during the three-year grant period. ¹	NA	NA	NA	NA	Set baseline for 2004 cohort	Set baseline for 2005 cohort
	Safe and Drug-Free Schools and Communities Other National Programs. The percentage of Safe Schools/Healthy Students grant sites that improve school attendance during the three-year grant period. ¹	NA	NA	NA	NA	Set baseline for 2004 cohort	Set baseline for 2005 cohort
Student Drug Testing	Safe and Drug-Free Schools and Communities Other National Programs. The percentage of Student Drug Testing grantees that experience a five percent annual reduction in the incidence of past-month drug use by students in the target population. ¹	NA	NA	NA	NA	Set baseline for 2003 cohort	Set baseline for 2005 cohort
	Safe and Drug-Free Schools and Communities Other National Programs. The percentage of Student Drug Testing grantees that experience a five percent annual reduction in the incidence of past-year drug use by students in the target population. ¹	NA	NA	NA	NA	Set baseline for 2003 cohort	Set baseline for 2005 cohort

¹ Source. Department of Education, grantee performance reports.

Objective 3.2: Promote strong character and citizenship among our nation's youths

Strategies

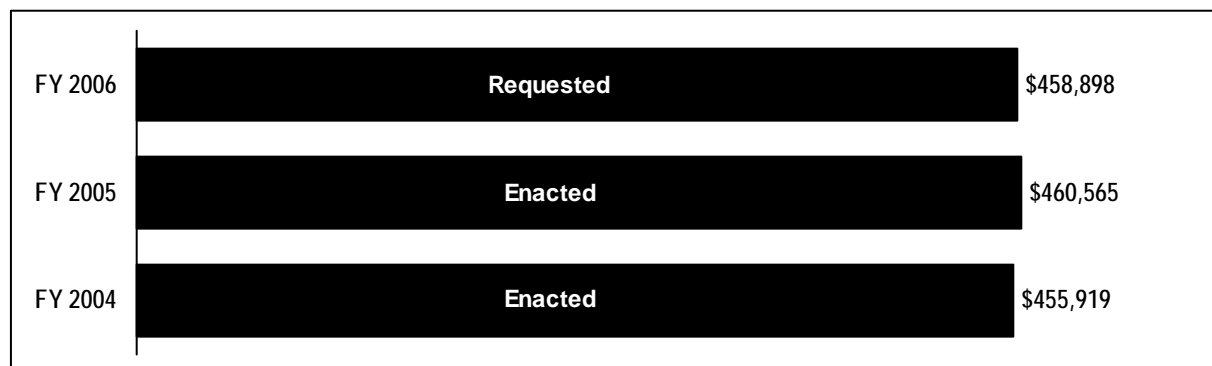
- A. Research-based strategies and effective practices
- B. Coordination and collaboration
- C. Information dissemination and technical assistance

Performance Measures

The Department does not have key measures for any of the programs that support this objective.

Goal 4: Transform Education into an Evidence-Based Field

Resources for Goal 4 (in thousands of dollars)



Programs Supporting Key Goal 4 Measures

Program	FY 2004 Budget Authority (\$ millions)	FY 2005 Budget Authority (\$ millions)	FY 2006 President's Request (\$ in 000s)
Institute of Education Sciences			
ESRA: Research, Development, and Dissemination	\$ 165,518	164,194	164,194
ESRA: Research in Special Education	78,125	83,104	72,566
Other Goal 4 Programs¹ (See below.)	212,276	213,267	222,138
Total	\$ 455,919	\$ 460,565	\$ 458,898

¹ For FY 2006, "Other Goal 4 Programs" are listed below. For FY 2004 and FY 2005, the amount for "Other Goal 4 programs" includes some programs that are not proposed in the FY 2006 Performance Budget.

Other Goal 4 programs include the following:^{*}

ESEA: Indian Education—National Activities
 ESEA: Title I Evaluation
 ESRA: Statistics
 IDEA: Special Education Studies and Evaluations
 RA: National Institute on Disability and Rehabilitation Research

ESEA = Elementary and Secondary Education Act
 ESRA = Education Sciences Reform Act
 IDEA = Individuals with Disabilities Education Act
 RA = Rehabilitation Act

^{*} Complete FY 2006 Program Performance Plans for most programs are available at <http://www.ed.gov/abuot/report/annual/2006plan/ndex.html>

Objective 4.1: Raise the quality of and access to research funded or conducted by the Department of Education

Strategies

A. Rigorous standards for education research

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Quality	Research, Development and Dissemination. The percentage of new research proposals funded by the Department's National Center for Education Research that receive an average score of excellent or higher from an independent review panel of qualified scientists. ¹	NA	NA	88	97	100	100
	Research and Innovation in Special Education. The percentage of new research proposals funded by the Department's National Center for Special Education Research that receive an average score of excellent or higher from an independent review panel of qualified scientists. ¹	NA	NA	NA	NA	NA	BL

IES= Institute for Education Sciences

NA = Not available

BL = Target is to set a baseline.

¹ Source. Department of Education, Institute of Education Sciences (IES), independent external review panels.

Objective 4.2: Increase the relevance of our research in order to meet the needs of our customers

Strategies

- A. Systemic stakeholder input
- B. Responsive allocation of resources
- C. Accessible findings

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Relevance	Research, Development and Dissemination. The percentage of new research projects funded by the Department's National Center for Education Research that are deemed to be of high relevance by an independent review panel of qualified practitioners. ¹	21	25	60	P	65	75
	Research and Innovation in Special Education. The percentage of new research projects funded by the Department's National Center for Special Education Research that are deemed to be of high relevance by an independent review panel of qualified practitioners. ¹	NA	NA	NA	NA	NA	BL

IES= Institute of Education Sciences

P = Pending

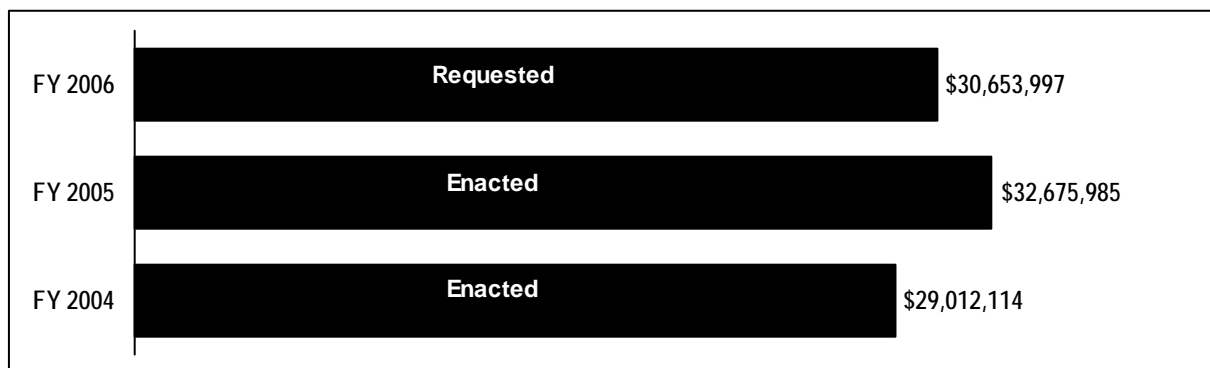
NA = Not available

BL = Target is to set a baseline

¹ Source. Department of Education, Institute of Education Sciences (IES), Independent external review panels.

Goal 5: Enhance the Quality of and Access to Postsecondary and Adult Education

Resources for Goal 5 (in thousands of dollars)



Programs Supporting Key Goal 5 Measures

Account/Program	FY 2004 Budget Authority (\$ in 000s)	FY 2005 Budget Authority (\$ millions)	FY 2006 President's Request (\$ in 000s)
Student Financial Assistance			
HEA: Federal Pell Grants	12,006,738	12,364,997	17,952,821
HEA: Federal Supplemental Educational Opportunity Grants	770,455	778,720	778,720
HEA: Federal Work-Study	998,502	990,257	990,257
Federal Family Education Loans	5,800,996	10,909,060	5,843,723
Federal Direct Student Loans	2,457,222	636,994	(669,425)
Student Aid Administration	911,727	914,084	939,285
Higher Education			
HEA: TRIO Educational Opportunity Centers	48,972	48,972	48,972
HEA: TRIO Student Support Services	263,031	274,858	274,858
HEA: TRIO McNair Postbaccalaureate Achievement	42,093	41,935	41,935
HEA: AID Strengthening Historically Black Colleges and Universities	222,764	238,576	240,500
HEA: AID Strengthening Historically Black Graduate Institutions	53,100	58,032	58,500
HEA: AID Strengthening Tribally Controlled Colleges and Universities	23,287	23,808	23,808
HEA: AID Developing Hispanic-serving Institutions	93,993	95,106	95,873
HEA: International Education and Foreign Language Studies Domestic Programs	89,211	92,466	92,466
Rehabilitation Services and Disability Research			
RA: Vocational Rehabilitation State Grants	2,553,362	2,603,845	2,687,168
Vocational and Adult Education			
AEFLA: Adult Basic and Literacy Education State Grants	574,372	569,672	200,000
Other Goal 5 Programs¹ (See next page.)	2,102,289	2,034,603	1,054,536
Total	\$ 29,012,114	\$ 32,675,985	\$ 30,653,997

¹ For FY 2006, "Other Goal 5 Programs" are listed below. For FY 2004 and FY 2005, the amount for "Other Goal 5 programs" includes some programs that are not proposed in the FY 2006 Performance Budget.

Other Goal 5 programs include the following:*

AEFLA: Adult Education National Leadership Activities
 AEFLA: National Institute for Literacy
 ATA: Assistive Technology Alternative Financing
 DOEAA: GPRA Data/HEA Program Evaluation
 EDA: Gallaudet University
 EDA: National Technical Institute for the Deaf
 HEA: AID—Minority Science and Engineering Improvement
 HEA: AID—Strengthening Alaska Native and Native Hawaiian Serving Institutions
 HEA: AID—Strengthening Institutions, Part A
 HEA: Child Care Access Means Parents In School
 HEA: College Assistance Migrant Program
 HEA: Community College Access
 HEA: Federal TRIO programs—Other
 HEA: Fund for the Improvement of Postsecondary Education
 HEA: Graduate Assistance in Areas of National Need (GAANN)
 HEA: Historically Black College and University Capital Financing—Federal Administration
 HEA: International Education and Foreign Language Studies—Institute for International Public Policy
 HEA: Javits Fellowships
 HEA: Postsecondary Education Facilities Programs
 HKNCA: Helen Keller National Center for Deaf-Blind Youths and Adults
 MECEA: International Education and Foreign Language Studies—Overseas Programs
 RA: Client Assistance State Grants
 RA: Independent Living—Services for Older Blind Individuals
 RA: Independent Living—State Grants and Centers

RA: Protection and Advocacy of Individual Rights
 RA: Vocational Rehabilitation Demonstration and Training Programs
 RA: Vocational Rehabilitation Evaluation
 RA: Vocational Rehabilitation Grants for Indians
 RA: Vocational Rehabilitation Program Improvement
 RA: Vocational Rehabilitation Training
 USC: Howard University
 VTEA: Tribally Controlled Postsecondary Vocational and Technical Institutions
 Receipts
 Presidential Math and Science Scholars (proposed)
 Loans for Short-Term Training (proposed)

AEFLA = Adult Education and Family Literacy Act
 AID = Aid for Institutional Development
 ATA = Assistive Technology Act
 DOEAA = Department of Education Appropriations Act
 EDA = Education of the Deaf Act
 GPRA = Government Performance and Results Act
 HEA = Higher Education Act
 HKNCA = Helen Keller National Center Act
 MECEA = Mutual Educational and Cultural Exchange Act of 1961
 RA = Rehabilitation Act
 SFA = Student Financial Assistance programs
 VTEA = Vocational and Technical Education Act

Objective 5.1: Reduce the gaps in college access and completion among student populations differing by race/ethnicity, socioeconomic status, and disability while increasing the educational attainment of all

Strategies

- A. Rigorous academic preparation for postsecondary education
- B. Reduced barriers to postsecondary education
- C. Effective partnerships
- D. Improved services for student populations, including students with disabilities
- E. Efficient credit transfer among public institutions

* Complete FY 2006 Program Performance Plans for most programs are available at <http://www.ed.gov/about/report/annual/2006plan/index.html>.

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Postsecondary Enrollment	Student Financial Assistance Programs. The percentage of high school graduates ages 16–24 enrolling immediately in college. ¹	61.7	NA	P	P	67	68
	TRIO Educational Opportunity Centers (EOC). The percentage of EOC participants enrolling in college. ²	NA	NA	57	P	57.5	58
Postsecondary Persistence and Completion	Student Financial Assistance Programs. The percentage of full-time degree seeking students completing a four-year degree within 150 percent of the normal time required. ³	P	54.4	P	P	55	56
	TRIO Student Support Services (SSS). The percentage of SSS participants persisting at the same institution. ²	70	72	P	P	69	73
	TRIO Student Support Services. The percentage of SSS participants completing a degree at the same institution. ²	NA	NA	NA	P	30.5	30.5
	TRIO McNair Postbaccalaureate Achievement. The percentage of McNair participants enrolling in graduate school. ²	40	39	36	P	36	37
	TRIO McNair Postbaccalaureate Achievement. The percentage of McNair participants persisting in graduate school. ²	66	65	78	P	70	71

P = Pending

NA = Not available

¹ Source. Department of Education, SFA Federal Direct Student Loans Annual Performance Report.

² Source. Department of Education, TRIO Annual Performance Report.

³ Source. Department of Education, Graduation Rate Survey (GRS).

Objective 5.2: Strengthen accountability of postsecondary education institutions

Strategies

- A. Enhanced monitoring and reporting
- B. Data-based decision-making
- C. Improved discretionary grant process
- D. Outcomes-based performance management
- E. Comprehensive information for parents and students

Performance Measures

The Department strengthens institutional accountability by measuring and reporting program-specific performance for student enrollment, persistence, and completion (see Objective 5.1).

Objective 5.3: Establish effective funding mechanisms for postsecondary education

Strategies

- A. Knowledge management for student aid
- B. Student aid award accuracy

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Award Accuracy	Student Aid Administration. The percentage of Pell Grant overpayments.	3.4	3.3	3.1	2.8	3.1	3.1

Objective 5.4: Strengthen Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities

Strategies

- A. Improved technical assistance
- B. Targeted services for improved access, persistence, and completion
- C. Public/private partnerships
- D. Strengthened technological infrastructure
- E. Strengthened fiscal management

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Fiscal Management	Aid for Institutional Development (AID) Strengthening Historically Black Colleges and Universities. The percentage of Strengthening Historically Black Colleges and Universities project goals relating to the improvement of institutional management and fiscal stability that have been met or exceeded. ¹	NA	NA	65	P	NA	71
	AID Strengthening Historically Black Graduate Institutions. The percentage of Strengthening Historically Black Graduate Institutions project goals relating to the improvement of institutional management and fiscal stability that have been met or exceeded. ¹	NA	NA	63	P	NA	69

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
	AID Strengthening Tribally Controlled Colleges and Universities. The percentage of Strengthening Tribally Controlled Colleges and Universities project goals relating to the improvement of institutional management and fiscal stability that have been met or exceeded. ¹	NA	NA	80	P	NA	83
	AID Developing Hispanic-serving Institutions. The percentage of Developing Hispanic-serving Institutions project goals relating to the improvement of institutional management and fiscal stability that have been met or exceeded. ¹	NA	NA	73	P	NA	79
Student Services	AID Strengthening Historically Black Colleges and Universities. The percentage of Strengthening Historically Black Colleges and Universities project goals relating to the improvement of student services and student outcomes that have been met or exceeded. ¹	NA	NA	75	P	NA	81
	AID Strengthening Historically Black Graduate Institutions. The percentage of Strengthening Historically Black Graduate Institutions project goals relating to the improvement of student services and student outcomes that have been met or exceeded. ¹	NA	NA	67	P	NA	73
	AID Strengthening Tribally Controlled Colleges and Universities. The percentage of Strengthening Tribally Controlled Colleges and Universities project goals relating to the improvement of student services and student outcomes that have been met or exceeded. ¹	NA	NA	100	P	NA	100
	AID Developing Hispanic-serving Institutions. The percentage of Title V project goals relating to the improvement of student services and student outcomes that have been met or exceeded. ¹	NA	NA	80	P	NA	83
Academic Quality	AID Strengthening Historically Black Colleges and Universities. The percentage of Title III project goals relating to the improvement of academic quality that have been met or exceeded. ¹	NA	NA	70	P	NA	76
	AID Strengthening Historically Black Graduate Institutions. The percentage of Title III project goals relating to the improvement of academic quality that have been met or exceeded. ¹	NA	NA	92	P	NA	93

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
	AID Strengthening Tribally Controlled Colleges and Universities. The percentage of Strengthening Tribally Controlled Colleges and Universities project goals relating to the improvement of academic quality that have been met or exceeded. ¹	NA	NA	74	P	NA	80
	AID Developing Hispanic-serving Institutions. The percentage of Developing Hispanic-serving Institutions project goals relating to the improvement of academic quality that have been met or exceeded. ¹	NA	NA	79	P	NA	83

AID = Aid for Institutional Development

P = Pending

NA = Not available

¹ Source. Department of Education, Higher Education Act Titles III and V Annual Performance Report.

Note. Targets for FY 2005 have not been included because FY 2005 measures and targets were established for aggregated data across all the Higher Education Act (HEA) Title III and Title V programs. FY 2006 measures are established for results disaggregated by program, and targets have been established using the disaggregated FY 2003 performance data as a baseline. Trend data are shown only for the time period when disaggregated data were available.

Objective 5.5: Enhance the literacy and employment skills of American adults

Strategies

- A. Accountability for state and local results
- B. Research-based strategies and effective practices
- C. Demonstration projects, information dissemination, and technical assistance
- D. Technology-based solutions

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Employment	Vocational Rehabilitation State Grants. The percentage of general and combined state VR agencies that assist at least 55.8% of individuals receiving services to achieve employment. ¹	NA	75	66	P	75	78
Adult Learning	Adult Basic and Literacy Education State Grants. The percentage of adults with a high school completion goal who earn a high school diploma or recognized equivalent. ²	33	42	44	P	46	47
	Adult Basic and Literacy Education State Grants. The percentage of adults enrolled in English literacy programs who acquire the level of English language skills needed to complete the levels of instruction in which they enrolled. ²	31	34	36	P	45	45

P = Pending

NA = Not available

VR = Vocational Rehabilitation

¹ Source. Department of Education, Rehabilitation Services Administration (RSA), state agency data from the RSA-911.

² Source. Department of Education, Adult Education Annual Performance Reports.

Objective 5.6: Increase the capacity of U.S. postsecondary education institutions to teach world languages, area studies, and international issues

Strategies

- A. Strengthened foreign language, international, and area studies capacity
- B. Effective partnerships and linkages

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Expansion of Critical Languages	International Education and Foreign Language Studies Domestic Programs. The percentage of critical languages taught, as reflected by the list of critical languages referenced in the HEA Title VI program statute. ¹	NA	NA	NA	71	74	77
Employment in Field of Study	International Education and Foreign Language Studies Domestic Programs. The percentage of NRC PhD graduates who find employment in higher education, government, and national security. ¹	48.5	53.7	46.1	P	47.5	48
Improved Language Competency	International Education and Foreign Language Studies Domestic Programs. The average competency score of Foreign Language and Area Studies Fellowship recipients at the end of one full year of instruction (post-test) minus the average competency score at the beginning of the year (pre-test). ²	NA	NA	1.2	P	1.2	1.2

HEA = Higher Education Act

P = Pending

NA = Not available

NRC = National Resource Center

¹Source. Department of Education, International Education and Foreign Language Studies Domestic Programs Annual Performance Report. This measure reports on the National Resource Centers (NRC) under the International Education and Foreign Language Studies Domestic Programs, authorized by Title VI of the Higher Education Act.

²Source. Department of Education, International Education and Foreign Language Studies Domestic Programs Annual Performance Report. This measure reports on the Foreign Language and Areas Studies Fellowship Program under the International Education and Foreign Language Studies Domestic Programs, authorized by Title VI of the Higher Education Act.

Goal 6: Establish Management Excellence

Objective 6.1: Develop and maintain financial integrity and management and internal controls

Strategies

- A. Financial systems integration
- B. Management decisions based on enhanced financial analysis
- C. Outcome-based stewardship of federal funds
- D. Optimal use of performance-based initiatives

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Audit Opinion	The achievement of an unqualified audit opinion. ¹	Qualified	Unqualified	Unqualified	Unqualified	Unqualified	Unqualified

¹ Source. Ernst & Young, Report of Independent Auditors.

Objective 6.2: Improve the strategic management of the Department's human capital

Strategies

- A. Human capital planning
- B. Skills gap analysis
- C. Emphasis on customer service and employee performance and accountability
- D. Human capital management core process improvements
- E. Strategic sourcing

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Human Capital Performance Management	Index of quality human capital performance management activities. ¹	NA	NA	NA	NA	BL	BL + 1%

NA = Not applicable

BL = Target is to establish a baseline.

¹ This measure is an indicator of multiple aspects of human capital performance management that measures the Department's focus on ensuring clarity of results in performance plans, differentiating performance through fair and accurate evaluations, and paying for exceptional performance. Its three components are:

- Percentage of EDPAS employees that have *established* effective performance standards prior to the beginning of the rating cycle. *Established* is defined as a performance plan entered into the EDPAS system, discussed between employee and supervisor, and appropriately signed, certifying that the plan was appropriately communicated.
- Percentage of EDPAS employees who have documented ratings of record in FPPS within 30 days of the close of the rating cycle.
- Percentage of EDPAS award funds paid out to employees with "outstanding" performance ratings.

The index will be calculated by averaging the three component percentages.

Objective 6.3: Manage information technology resources, using e-gov, to improve services for our customers and partners

Strategies

- A. Implementation of an enterprise architecture
- B. Secure IT infrastructure
- C. Reduction of data-reporting burden
- D. Customer-oriented online business focus

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Online Capability	The percentage of grant programs providing online application capability. ¹	20	29	57	77	78	80

¹ Source. Department of Education, Office of the Chief Information Officer, program files.

Objective 6.4: Modernize the Student Financial Assistance programs and reduce their high-risk status

Strategies

- A. Integrated and efficient processes and delivery system
- B. Program integrity

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Customer Service	Customer service level for FAFSA on the Web. ¹	NA	NA	86	81	86	86
	Customer service level for Direct Loan Servicing. ¹	NA	NA	77	78	77	77
	Customer service level for Common Origination and Disbursement (COD). ¹	NA	NA	66	72	74	74
	Customer service level for Lender Reporting System (LaRS). ¹	NA	NA	71	73	74	74

NA = Not applicable

FAFSA = Free Application for Federal Student Aid

¹ Source. Department of Education, FSA, American Customer Satisfaction Index (ACSI) Survey.

Objective 6.5: Achieve budget and performance integration to link funding decisions to results

Strategies

- A. Aligned budget and planning processes
- B. Program effectiveness documentation

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Program Effectiveness	The percentage of Department program dollars associated with programs reviewed under the PART process that demonstrate effectiveness. ¹	NA	55	52	56	57	57

NA = Not applicable

P = Pending

PART = Program Assessment Rating Tool

¹ Source. Department of Education, analysis of PART scores.

Note. The Department bases this measure on programs that are reviewed by OMB under the PART. The Department defines effective programs as those ranked effective, moderately effective, or adequate through the PART process. The measure compares the appropriation for the effective programs to the appropriation for all programs that were reviewed under the PART. For FY 2006 the data will reflect FY 2006 appropriations and programs that had PART reviews conducted during or prior to FY 2006. Appropriation amounts include only program budget authority and exclude salaries and expenses budget authority.

Objective 6.6: Leverage the contributions of faith-based and community organizations to increase the effectiveness of Department programs

Strategies

- A. Enhanced technical assistance and outreach for faith-based and community organizations
- B. Full participation of faith-based and community organizations in Department programs

Performance Measures

Performance Goal	Programs and Measures	Performance Data				Performance Target	
		2001	2002	2003	2004	2005	2006
Grant Applications	The percentage of FBCOs that successfully apply for federal discretionary grants programs. ¹	NA	NA	FBCO success rate is 20%; non-FBCO success rate is 21%; difference is 1 PP.	P	The success rate for FBCOs will be within 5% of non-FBCOs.	The success rate for FBCOs will be within 2% of non-FBCOs.

FBCO = Faith-Based and Community Organization

NA = Not applicable

P = Pending

PP = Percentage point

¹ Source. Department of Education, Office of the Secretary, Center for Faith-Based and Community Initiatives.